



CTPAT – Minimum Security Criteria Open Source Resources List

October 2019

This list of open source resources was compiled by the Customs Trade Partnership Against Terrorism (CTPAT) Program to assist its Members in adopting measures to implement the newly updated Minimum Security Criteria (MSC). Many of these resources may also be used for training and educational purposes. Except for the first three, the resources have been aligned with their corresponding MSC ID number. Since internet links change, these resources have also been uploaded to the CTPAT Portal (Public Documents/Public Library section).

MSC ID	Resource Name	Description	Source	Link
General Resources				
	CTPAT Glossary of Terms	Key supply chain security terms and definitions.	CTPAT	CTPAT Portal – Public Documents/ Public Library Section
	National Strategy for Global Supply Chain Security (January 2012)	U.S. Government's policy to strengthen the global supply chain in order to protect the welfare and interests of the American people and secure our Nation's economic prosperity.	White House / U.S. Department of Homeland Security (DHS)	www.obamawhitehouse.archives.gov/sites/default/files/national_strategy_for_global_supply_chain_security.pdf
	National Strategy for Homeland Security (October 2007)	The Strategy's purpose is to guide, organize, and unify our Nation's homeland security efforts on the following four goals: <ul style="list-style-type: none">• Prevent and disrupt terrorist attacks;• Protect the American people, our critical infrastructure, and key resources;• Respond to and recover from incidents that do occur; and• Continue to strengthen the foundation to ensure our long-term success.	White House / Homeland Security Council / DHS	www.dhs.gov/xlibrary/assets/nat_strat_homelandsecurity_2007.pdf

2.1	CTPAT's 5 Step Risk Assessment	Guidance on establishing a robust risk assessment process.	CTPAT	www.cbp.gov/sites/default/files/documents/CTPAT%27s%20Five%20Step%20Risk%20Assessment%20Process.pdf
2.4	Ready Business: Small Business Case Study	Case study on the importance of having plans and procedures in place to counter threats.	Federal Emergency Management Agency (FEMA)	www.fema.gov/media-library-data/1387572158481-3561e0b74b8da0f6deb4424c9a29bbf6/business_smallbiz.pdf
2.4	Every Business Should Have a Plan and Business Continuity Plan	List of questions Members should consider while preparing their businesses against emergencies/threats.	FEMA	www.fema.gov/media-library-data/1387572158481-3561e0b74b8da0f6deb4424c9a29bbf6/business_poster_eng.pdf
2.4	Ready Business: Business Continuity Plan	Template for a business continuity plan from Ready, a National public service campaign designed to educate and empower Americans to prepare for, respond to and mitigate emergencies, including natural and man-made disasters.	FEMA	www.fema.gov/media-library-data/1389019980859-b64364cba1442b96dc4f4ad675f552e4/Business_ContinuityPlan_2014.pdf
2.4	Emergency Response Plan	Poster that outlines measures business should have in place to be prepared for an emergency.	DHS	www.ready.gov/publications
Corporate Security: Business Partner Requirements				
3.1, 7.10, 12.6	CTPAT's Warning Indicators for Trade Based Money Laundering and Terrorism Financing Activities	Key indicators to help Members detect money laundering and terrorism financing activities when screening/monitoring business partners.	CTPAT	CTPAT Portal – Public Documents/ Public Library Section

3.1, 7.10, 12.6	The National Money Laundering Risk Assessment (NMLRA)	Document that identifies the money laundering threats, vulnerabilities, and risks that the United States currently faces. Relevant component agencies, bureaus, and offices of Treasury, the Department of Justice, the Department of Homeland Security, as well as U.S. regulatory agencies, participated in the development of this risk assessment.	U.S. Department of the Treasury	home.treasury.gov/system/files/136/2018NMLRA_12-18.pdf
3.2	Consolidated Screening List (Departments of Commerce; Treasury; and State)	List of parties for which the United States Government maintains restrictions on certain exports, re-exports or transfers of items.	International Trade Administration / U.S. Department of Commerce	export.gov/ecr/eg_main_023148.asp
3.3	Locate a Port of Entry	Database of all CBP ports of entry by state, including the port name, location address, and field operation office.	U.S. Customs Border Protection (CBP)	www.cbp.gov/contact/ports
3.3, 7.23, 12.10	Immigration and Customs Enforcement / Homeland Security Investigations (HSI) Tip Form	ICE Portal to report suspected criminal activity, including money laundering, human and narcotics smuggling, trade export and/or import violations, terrorism, and cybercrimes.	U.S. Immigration and Customs Enforcement (ICE)	www.ice.gov/webform/hsi-tip-form
3.3, 7.23, 12.3, 12.10	Human Trafficking vs. Human Smuggling	Information on the differentiation between human trafficking and human smuggling and guidelines on reporting these activities.	ICE	www.ice.gov/sites/default/files/documents/Report/2017/CSReport-13-1.pdf
3.9	19 United States Code (USC) 1307: Convict-made Goods; Importation Prohibited	Social compliance legislation on the prohibition of importing merchandise mined, produced, or manufactured in a foreign country by forced or indentured child labor.	U.S. Congress	uscode.house.gov/view.xhtml?req=(title:19%20section:1307%20edition:prelim)
3.9	U.S. Customs and Border Protection: Forced Labor	Webpage on Forced Labor and links to several factsheets.	CBP	www.cbp.gov/trade/programs-administration/forced-labor

Corporate Security: Cybersecurity				
4.1	Cybersecurity Framework	Framework that details standards, guidelines, and best practices to manage cybersecurity-related risk. It provides a policy framework of computer security guidance for how private sector organizations can assess and improve their ability to prevent, detect, and respond to cyberattacks.	National Institute of Standards and Technology (NIST)	www.nist.gov/cyberframework
4.2	How to Protect Your Networks from Ransomware	U.S. Government interagency guidance on best practices and mitigation strategies focused on the prevention and response to ransomware incidents.	U.S. Department of Justice	www.justice.gov/criminal-ccips/file/872771/download
4.2	National Cyber Awareness System Tips	Tips on topics such as ransomware, phishing attacks, and proper disposal of electronic devices.	Cybersecurity and Infrastructure Security Agency (CISA)	www.us-cert.gov/ncas/tips
4.3	National Cybersecurity and Communications Integration Center (NCCIC) – ICS Cyber Security Evaluation Tool	Description of the Cyber Security Evaluation Tool (CSET) – a systematic, disciplined approach for evaluating an organization’s cyber security posture. CSET is a free tool created by the Department of Homeland Security and it is offered at no cost to end users.	CISA	www.us-cert.gov/ics/Assessments
4.3	Internet Crime Complaint Center (IC3)	Form to file criminal complaints to federal, state, local, international law enforcement or regulatory agencies for further investigation.	Federal Bureau of Investigations (FBI)	www.ic3.gov
4.4	National Cybersecurity and Communications Integration Center (NCCIC)	Overview of the NCCIC which is a way Members can share information on cybersecurity threats with the public and private sector.	CISA	www.us-cert.gov/nccic
4.8	NIST Special Publication 800-63B: Digital Identity Guidelines	Technical guidelines for the implementation of digital authentication, including standard password guidelines to help protect information technology systems.	NIST	pages.nist.gov/800-63-3/sp800-63b.html

4.13	Guidelines for Media Sanitization	Guidelines on proper procedures to follow when handling sensitive information.	NIST	nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-88r1.pdf
Transportation Security: Conveyance and IIT Security				
5.3	Carrier Conveyance Contamination Outreach	PowerPoint presentation with speaker notes on agricultural contaminants that can be introduced into the supply chain via conveyances; presentation also includes best practices to prevent contamination.	CBP	www.cbp.gov/sites/default/files/assets/documents/2018-Feb/Web%20Version%20Carrier%20Conveyance%20Contaminant%20Trade%20Outreach_March%202016.v.2.pdf
5.3	7-Point Container Inspection	Diagram and form for the 7 areas to inspect on a container.	CBP	www.cbp.gov/sites/default/files/documents/7_pcic.pdf
5.3	17-Point Truck and Trailer Inspection	Diagram and form for the 17 areas to inspect on a truck or trailer.	CBP	www.cbp.gov/sites/default/files/documents/17_pic.pdf
5.3, 12.10	E-Allegations Portal	CBP Portal to report on suspected violations of U.S. Customs Law and related illicit trade activities.	CBP	eallegations.cbp.gov/Home/Index2
5.7, 5.10, 8.1, 12.2, 12.7	International Plant Protection Convention (IPPC) Guidance on Sea Container Cleanliness (2018)	Guidelines for the prevention of pest contamination on containers.	Food and Agriculture Organization / IPPC	www.fao.org/documents/card/en/c/I8960EN - English Version www.fao.org/documents/card/en/c/I8960ES - Spanish Version www.fao.org/documents/card/en/c/I8960ZH - Chinese Version
5.9	Asian Gypsy Moth Pest Resource	Information for Sea Carriers about the risks, threats, and signs of Asian Gypsy Moth (AGM) and special guidance for ships that have been in areas with a high risk for AGM.	United States Department of Agriculture (USDA)	www.aphis.usda.gov/plant_health/plant_pest_info/gypsy_moth/downloads/Joint-AGM-bulletin-USDA-CFIA.pdf www.aphis.usda.gov/plant_health/plant_pest_info/gypsy_moth/downloads/AGM_Procedures.pdf

5.10	Title 7 Part 330: Agriculture – Federal Plant Pest Regulations	Regulatory guidelines (330.400) on organic materials and garbage that arrives on conveyances from foreign countries.	U.S. Government Publishing Office (GPO) - Electronic Code of Federal Regulations (e-CFR)	ecfr.io//Title-07/sp7.5.330.a
5.10	Title 9 Part 94 – Prohibited and Restricted Importations	Regulatory guidelines (94.5) on animal products arriving on conveyances from foreign countries.	GOP / e-CFR	ecfr.io/Title-09/pt9.1.94
5.10	Plant Protection Quarantine (PPQ) Compliance Agreement (PPQ Form 519)	Compliance agreement form that can be completed by Members to demonstrated compliance with USDA's Animal and Plant Health Inspection Service (APHIS) regulations.	USDA	www.aphis.usda.gov/library/forms/pdf/ppq519.pdf
5.25	Federal Railroad Safety Act (FRSA)	The Federal Railroad Safety Act.	U.S. Department of Labor	www.whistleblowers.gov/statutes/frsa
5.25	Hazardous Materials Transportation Act	Legislation on protecting against the risks to life and property inherent in the transportation of hazardous material.	U.S. Environmental Protection Agency	archive.epa.gov/emergencies/content/lawsregs/web/html/hmtaover.html
5.30	Decal and Transportation Online Procurement System (DTOPS)	Website to purchase or renew transponders.	CBP	dtops.cbp.dhs.gov
Transportation Security: Seal Security				
6.2, 6.5	17712 Standard for High Security Seals	Description of and guidance on the international standards for high security seals, including the uniform procedures for the classification, acceptance, and withdrawal of mechanical freight container seals.	International Organization for Standardization (ISO)	www.iso.org/obp/ui/#iso:std:iso:17712:ed-2:v1:en
6.2, 6.5	Compliance with ISO's 17712 Standards for High Security Seal	Document that highlights the ISO standards for high security seals for Members.	CBP	www.cbp.gov/sites/default/files/documents/Bulletin%20-%20April%202014%20-%20ISO%2017712%20High%20Security%20Seals.pdf

Transportation Security: Procedural Security				
7.10, 7.14, 7.23, 10.6, 12.1, 12.10	Recognize the Signs of Terrorism-Related Suspicious Activity	Infographic on how to recognize suspicious activities. Part of the "If You See Something, Say Something" campaign.	DHS	www.dhs.gov/sites/default/files/publications/18_0701_seesay_indicatorinfographic.pdf
Transportation Security: Agricultural Security				
8.1	Sources of International Standards for Phytosanitary Measures (ISPM) 15-Compliant Wood Packing Material	Information on where importers and exporters can obtain ISPM 15-compliant wood packing material in the U.S.	USDA	www.aphis.usda.gov/aphis/ourfocus/planthealth/import-information/wood-packaging-material/ct_sources-ism15-wpm
8.1	Frequently Asked Questions on Wood Packaging Materials	Document to address common questions on the international phytosanitary standards for regulated wood packaging material entering North America.	CBP	www.cbp.gov/sites/default/files/assets/documents/2017-Nov/wpm_faq_Sept_2017_ver2.pdf
People and Physical Security: Personnel Security				
11.3	Vessel Inspection Guide (July 2012)	Procedures, regulations and additional documentation on the processing of crew and passengers arriving into the United States, including the process necessary to report the desertion or absconding of a nonimmigrant crew member to the CBP office at the location of the incident.	CBP	www.cbp.gov/sites/default/files/documents/vessel_guide_4.pdf
People and Physical Security: Education, Training and Awareness				
12.1	CTPAT Security Training and Threat Awareness	Document on the importance of security training and who to train within the organization based on their duties or roles (Mindmap).	CBP	www.cbp.gov/sites/default/files/documents/Security%20Training%20and%20Threat%20Awareness%20Mindmap.pdf